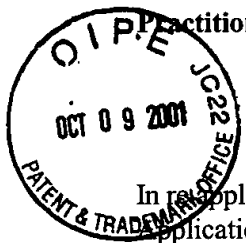


1762



Practitioner's Docket No. 49375 (70868)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: M. Kawaguchi
Application No.: 09/470,615
Filed: December 22, 1999
For: MECHANISM AND METHOD FOR SUPPORTING SUBSTRATE
TO BE COATED WITH FILM
Group: 1762
Examiner: Meeks, T.

Assistant Commissioner for Patents
Washington, D.C. 20231

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

- ☐ a small entity. A statement:
☐ is attached.
☐ was already filed.
☒ other than a small entity.

EXTENSION OF TERM

NOTE: "Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry

RECEIVED
OCT 11 2001
TC 1700

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

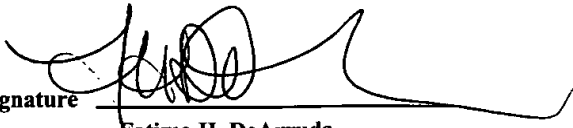
MAILING

- ☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: October 4, 2001

FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Signature 
Fatima H. DeArruda

of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

NOTE: See 37 C.F.R. § 1.645 for extensions of time in interference proceedings, and 37 C.F.R. § 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

(complete (a) or (b), as applicable)

(a) ☐ Applicant petitions for an extension of time under 37 C.F.R. § 1.136 (fees: 37 C.F.R. § 1.17(a)(1)-(4)) for the total number of months checked below:

	Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/>	one month	\$ 110.00	\$ 55.00
<input type="checkbox"/>	two months	\$ 390.00	\$ 195.00
<input type="checkbox"/>	three months	\$ 890.00	\$ 445.00
<input type="checkbox"/>	four months	\$ 1,390.00	\$ 695.00

Fee: **\$ 0.00**

If an additional extension of time is required, please consider this a petition therefor.

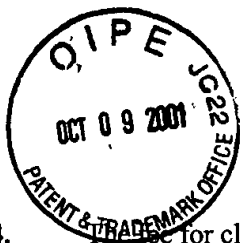
(check and complete the next item, if applicable)

☐ An extension for _____ months has already been secured. The fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ _____

OR

(b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.



FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. § 1.16(b)-(d)) has been calculated as shown below:

[Col. 1] [Col. 2] [Col. 3] Small Entity Other Than a Small Entity

Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate	Additional Fee	Rate	Additional Fee
Total 12	Minus 20	= 0	x \$ 9 =	\$9.00	x \$ 18 =	\$0.00
Indep.	Minus	= 0	x \$42 =	\$	x \$ 84 =	\$0.00
[] First Presentation of Multiple Dependent Claim			+\$135 =		+ \$270 =	
				Total Addit. Fee: \$0.00	Total Addit. Fee \$0.00	

- * If the entry in Col. 1 is less than the entry in Col. 2, write "O" in Col. 3,
** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".
*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".
The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

WARNING: "After final rejection or action (§ 1.113) amendments may be made canceling claims or complying with any requirement of form which has been made." 37 C.F.R. § 1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

(c) ☒ No additional fee for claims is required.

OR

(d) ☐ Total additional fee for claims required **\$ 0.00**.

FEE PAYMENT

5. ☐ Attached is a check in the sum of \$ **0.00**.
 ☐ Charge Account No. **04-1105** the sum of \$
 A duplicate of this transmittal is attached.



FEE DEFICIENCY

NOTE: *If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).*

6. ☒ If any additional extension and/or fee is required, charge Account No. 04-1105.

AND/OR


- ☒ If any additional fee for claims is required, charge Account No. 04-1105.

Tel. No. (617) 439-4444

Date: October 4, 2001

Customer No. 21,874

BOS2_179453.1


SIGNATURE OF PRACTITIONER
William J. Daley, Jr. (Reg. 35,487)
Dike, Bronstein, Roberts & Cushman
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PO BOX 9169
Boston, MA 02209



Attorney Docket No. 49,375 (70868)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: M. Kawaguchi

EXAMINER: Meeks, T.

SERIAL NO.: 09/470,615

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Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231 on October 4, 2001.

By: _____

Fatima H. De Arruda

RESPONSE TO OFFICE ACTION

Sir:

The following is in response to the Office Action mailed September 17, 2001, in the above referenced application.

Applicant believes that no extension of time is required since this response is being filed before the expiration of the specified one (1) month period. Applicant, however, conditionally petitions for an extension of time to provide for the possibility that the need for such a petition has been inadvertently overlooked. As provided below charge Deposit Account No. **04-1105** for any required fee.